

## $\infty$ -TOPOI – II

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### 1. MONOMORPHISMS

Let  $\mathcal{C}$  be an  $\infty$ -category. A morphism  $f : X \rightarrow Z$  is a **monomorphism** if for each object  $Y \in \mathcal{C}/Z$ , the mapping space  $\mathrm{map}_{\mathcal{C}/Z}(X, Y)$  is either empty or contractible. Equivalently, for any  $Y \in \mathcal{C}$ , the map  $\mathrm{map}_{\mathcal{C}}(Y, X) \rightarrow \mathrm{map}_{\mathcal{C}}(Y, Z)$  is up to weak equivalence an inclusion of path components. Monomorphisms are closed under pullbacks. A morphism  $f : X \rightarrow Z$  is a monomorphism if and only if the associated diagonal morphism  $\Delta f : X \xrightarrow{\cong} X \times_Z X$  is an equivalence.

Let  $\mathcal{C}$  be a presentable  $\infty$ -category and  $X \in \mathcal{C}$ . We denote by  $\mathrm{Sub}(X)$  the class of equivalence classes of monomorphisms  $U \rightarrow X$ . This is a small poset which is locally presentable as an (ordinary) category [1, Proposition 6.2.1.3] – see Property (c) below.

### 2. DIGRESSION: TRUNCATED OBJECTS

Monomorphisms are a special case of a **truncated object**. Let  $\mathcal{C}$  be an  $\infty$ -category and let  $k \geq -1$  be an integer. We say that  $X \in \mathcal{C}$  is  **$k$ -truncated** if  $\mathrm{map}_{\mathcal{C}}(Y, X)$  is  $k$ -truncated for every  $Y \in \mathcal{C}$ , i.e., the homotopy groups of these mapping spaces vanish in degrees  $> k$  (for all basepoints).

**Example 1.**  $(X \rightarrow Z) \in \mathcal{C}/Z$  is  $(-1)$ -truncated if and only if  $X \rightarrow Z$  is a monomorphism in  $\mathcal{C}$ .

**Example 2.**  $\mathcal{C}$  is equivalent to (the nerve of) an ordinary category if and only if every object in  $\mathcal{C}$  is 0-truncated.

Let  $\tau_{\leq k} \mathcal{C} \subseteq \mathcal{C}$  denote the full subcategory which is spanned by the  $k$ -truncated objects. This has the following properties [1, 5.5.6]:

- (a) The full subcategory  $\tau_k \mathcal{C} \subseteq \mathcal{C}$  is closed under limits. This is a consequence of the fact that  $k$ -truncated objects in the  $\infty$ -category of spaces are closed under small products and pullbacks.
- (b) Let  $F : \mathcal{C} \rightarrow \mathcal{C}'$  be a left exact functor between  $\infty$ -categories which admit finite limits. Then  $F$  preserves  $k$ -truncated objects.

More generally,  $F$  preserves  $k$ -truncated morphisms. We say that a morphism  $f : X \rightarrow Z$  is  **$k$ -truncated** if the homotopy fibers of the map  $\mathrm{map}_{\mathcal{C}}(Y, X) \rightarrow \mathrm{map}_{\mathcal{C}}(Y, Z)$  are  $k$ -truncated for every  $Y \in \mathcal{C}$ . For example,  $X \in \mathcal{C}$  is  $k$ -truncated if and only if the morphism  $(X \rightarrow 1)$  is  $k$ -truncated.

We have the following useful observation:  $f : X \rightarrow Z$  is  $k$ -truncated if and only if the diagonal morphism  $\Delta f : X \rightarrow X \times_Z X$  is  $(k - 1)$ -truncated (*Proof.* Note that it suffices to prove the claim for the  $\infty$ -category of spaces. This can be shown using standard properties of homotopy groups.) Using this fact, (b) follows by induction.

- (c) Suppose that  $\mathcal{C}$  is a presentable  $\infty$ -category. Then the inclusion functor  $\tau_k \mathcal{C} \subseteq \mathcal{C}$  **admits a left adjoint**. This is because the  $k$ -truncated objects are the local objects with respect to the morphisms  $C \otimes \partial \Delta^{k+2} \rightarrow C \otimes \Delta^{k+2}$  where  $C$  belongs to a set of objects that generate  $\mathcal{C}$  under colimits. In particular,  $\tau_{\leq k} \mathcal{C}$  is again presentable. We denote the localization functor by

$$\tau_{\leq k}^{\mathcal{C}}: \mathcal{C} \rightarrow \tau_{\leq k} \mathcal{C}.$$

- (d) Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor between presentable  $\infty$ -categories. Suppose that  $F$  preserves small colimits and finite limits. Then the following diagram commutes up to canonical equivalence:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ \tau_{\leq k}^{\mathcal{C}} \downarrow & & \downarrow \tau_{\leq k}^{\mathcal{D}} \\ \tau_{\leq k} \mathcal{C} & \xrightarrow{F} & \tau_{\leq k} \mathcal{D}. \end{array}$$

This can be seen by noting that the associated diagram of right adjoints is well-defined and commutes (this uses (b)).

### 3. EFFECTIVE EPIMORPHISMS

Let  $\mathcal{X}$  be an  $\infty$ -topos. A morphism  $f: U \rightarrow X$  is an **effective epimorphism** if the Čech nerve  $\check{C}(f)$  (= the augmented simplicial object which is defined by iterated pullbacks along the morphism  $f$ ) is a **simplicial resolution** of  $X$  (= a colimit diagram).

We state some properties of effective epimorphisms in  $\mathcal{X}$  [1, 6.2.3]. A morphism  $f: U \rightarrow X$  is an effective epimorphism if and only if  $f^*: \text{Sub}(X) \rightarrow \text{Sub}(U)$  is injective. The class of effective epimorphisms contains the equivalences and is closed under composition and coproducts. Furthermore, if  $gf$  is an effective epimorphism, then so is  $g$ . A morphism  $f: U \rightarrow X$  is an equivalence if and only if it is a monomorphism and an effective epimorphism (*Proof.*  $\check{C}(f)$  is a simplicial resolution of  $X$  which is constant at  $U$  if and only if  $f$  is an effective epimorphism and a monomorphism.) In the  $\infty$ -category of spaces, a map is an effective epimorphism if and only if it is  $\pi_0$ -surjective.

Left exact colimit-preserving functors preserve effective monomorphisms.

**Proposition 3.** *Let  $\mathcal{X}$  be an  $\infty$ -topos,  $f: V \rightarrow X$  a morphism,  $V_{\bullet}$  the associated Čech nerve, and let  $|V_{\bullet}|$  be the colimit of the underlying simplicial object. Then*

$$\begin{array}{ccc} V & \xrightarrow{p} & |V_{\bullet}| \\ & \searrow f & \swarrow j \\ & & X \end{array}$$

*is a factorization of  $f$  into an effective epimorphism  $p$  and a monomorphism  $j$ . Moreover,  $j$  is the  $(-1)$ -truncation of  $f$  in  $\mathcal{X}_{/X}$ .*

*Proof.* The morphism  $p$  is an effective epimorphism because  $\mathcal{X}$  is an  $\infty$ -topos and therefore the underlying groupoid of  $V_\bullet$  is effective. Consider the pullback squares

$$\begin{array}{ccc} V_n \times_{|V_\bullet|} V_m & \longrightarrow & V_n \times_X V_m \\ \downarrow & & \downarrow \\ V \times_{|V_\bullet|} V & \longrightarrow & V \times_X V \end{array}$$

The bottom morphism is an equivalence since both objects are equivalent to  $V_1$ . Hence the top morphism is an equivalence for all  $m, n \geq 0$ . As a consequence,  $|V_\bullet| \simeq |V_\bullet| \times_{|V_\bullet|} |V_\bullet| \xrightarrow{\cong} |V_\bullet| \times_X |V_\bullet|$  and therefore  $j$  is a monomorphism.

For every other monomorphism  $j' : \tilde{V} \rightarrow X$  that factors  $f$ , there is a homotopically unique factorization through  $|V_\bullet|$  provided by  $|V_\bullet| \rightarrow |\check{C}(j')| \simeq \tilde{V}$ .

See [1, Proposition 6.2.3.4]. □

#### 4. TOPOLOGICAL LOCALIZATIONS

Let  $\mathcal{C}$  be a presentable  $\infty$ -category. A strongly saturated class  $S$  is called **topological** if: (a) it is generated as a strongly saturated class by monomorphisms, and (b) it is closed under pullbacks. Note that the definition does *not* require that the class is generated by a *set* of monomorphisms. But this is always true if colimits in  $\mathcal{C}$  are universal as then any monomorphism in  $S$  is the colimit of monomorphisms in  $S$  whose codomains belong to a set of objects that generate  $\mathcal{C}$  under colimits. See [1, Proposition 6.2.1.5].

A localization  $F : \mathcal{C} \rightarrow \mathcal{D}$  is called **topological** if the class of morphisms  $S_F = \{f : X \rightarrow Y \mid F(f) \text{ is an equivalence}\}$  is topological.

**Proposition 4.** *Every topological localization  $F : \mathcal{P}(\mathcal{C}) \rightarrow \mathcal{D}$  is accessible and left exact.*

*Proof.*  $F$  is accessible because the strongly saturated class  $S_F$  is generated by a set of morphisms. It is left exact because  $S_F$  is closed under pullbacks. □

#### 5. GROTHENDIECK TOPOLOGIES

Let  $\mathcal{C}$  be an  $\infty$ -category. A **sieve on  $\mathcal{C}$**  is a full subcategory  $U \subseteq \mathcal{C}$  such that if  $f : C \rightarrow C'$  is in  $\mathcal{C}$  and  $C' \in U$ , then  $f$  is in  $U$ . There is bijection between the collection of sieves on  $\mathcal{C}$  and the equivalence classes of  $(-1)$ -truncated object in  $\mathcal{P}(\mathcal{C})$  – there are presheaves on  $\mathcal{C}$  whose values are either empty or contractible. More specifically, given a  $(-1)$ -truncated presheaf  $F$ , the associated sieve is spanned by the objects  $C \in \mathcal{C}$  such that  $F(C) \neq \emptyset$ .

A **sieve on  $C \in \mathcal{C}$**  is a sieve on the  $\infty$ -category  $\mathcal{C}_{/C}$ . Accordingly, there is a bijection between the set of sieves on  $C$  and the set  $\text{Sub}(j(C))$  of equivalence classes of subobjects of the representable functor of  $C$  in  $\mathcal{P}(\mathcal{C})$  – note that  $\text{Sub}(j(C))$  is identified with the equivalence classes of  $(-1)$ -truncated objects in  $\mathcal{P}(\mathcal{C}_{/C})$  using the equivalence  $\mathcal{P}(\mathcal{C}_{/C}) \simeq \mathcal{P}(\mathcal{C})_{/j(C)}$ . See [1, Proposition 6.2.2.5].

A **Grothendieck topology on  $\mathcal{C}$**  consists of a collection of sieves on  $C \in \mathcal{C}$  for each  $C$ , called **covering sieves**, such that:

- (1)  $\mathcal{C}_{/C} \subseteq \mathcal{C}_{/C}$  is a covering sieve for each  $C$ .

- (2) Given  $f : D \rightarrow C$  and a covering sieve  $U \subseteq \mathcal{C}_{/C}$ , then  $f^*U \subseteq \mathcal{C}_{/D}$  is a covering sieve. Here  $f^*U$  is spanned by the objects  $(T \rightarrow D)$  such that  $(U \rightarrow D \xrightarrow{f} C) \in U$ .
- (3) Given  $C \in \mathcal{C}$  and a covering sieve  $U \subseteq \mathcal{C}_{/C}$ , a sieve  $U' \subseteq \mathcal{C}_{/C}$  is a covering sieve if  $f^*U'$  is a covering sieve for each  $f : D \rightarrow C$  in  $U$ .

There is a bijection between Grothendieck topologies on  $\mathcal{C}$  and Grothendieck topologies on the (ordinary) homotopy category  $\mathbf{h}(\mathcal{C})$  (cf. [2]). This is because the canonical functor  $\mathbf{h}(\mathcal{C}_{/C}) \rightarrow \mathbf{h}(\mathcal{C})_{/C}$  is full, and therefore it induces a bijection between sieves on  $C \in \mathcal{C}$  and sieves on  $C \in \mathbf{h}(\mathcal{C})$ .

Let  $(\mathcal{C}, \tau)$  be a small  $\infty$ -category equipped with a Grothendieck topology denoted by  $\tau$ . Let  $S_\tau$  denote the collection of monomorphisms  $U \rightarrow j(C)$  in  $\mathcal{P}(\mathcal{C})$  which correspond to covering sieves. A presheaf  $F \in \mathcal{P}(\mathcal{C})$  is called a **sheaf** (or  $\tau$ -**sheaf**) if it is  $S_\tau$ -local, i.e., for every object  $C \in \mathcal{C}$  and covering sieve  $U \rightarrow j(C)$ , the canonical map

$$F(C) \simeq \text{map}_{\mathcal{P}(\mathcal{C})}(j(C), F) \rightarrow \text{map}_{\mathcal{P}(\mathcal{C})}(U, F) \simeq \lim_{(C' \rightarrow C) \in U} F(C')$$

is an equivalence. The full subcategory of  $\tau$ -sheaves is denoted by  $\text{Sh}(\mathcal{C}, \tau)$ .

**Theorem 5.** *Let  $\mathcal{C}$  be a small  $\infty$ -category.*

- (a) *Let  $\tau$  be a Grothendieck topology on  $\mathcal{C}$ . Then  $\text{Sh}(\mathcal{C}, \tau)$  is a topological localization of  $\mathcal{P}(\mathcal{C})$ .*
- (b) *There is a bijection between Grothendieck topologies on  $\mathcal{C}$  and equivalence classes of topological localizations of  $\mathcal{P}(\mathcal{C})$ .*

*Proof.* (Sketch) (a) We know that the localization of  $\mathcal{P}(\mathcal{C})$  at  $S_\tau$  is generated by a set of monomorphisms. The proof that it is left exact is based on an explicit construction of the localization functor. The localization functor (**sheafification**) is given by a transfinite application of a functor  $(-)^+ : F \mapsto F^+$ . More specifically, for each presheaf  $F$ , the operation  $(-)^+$  replaces  $F(C)$ ,  $C \in \mathcal{C}$ , by the colimit over all covering sieves  $U \subseteq \mathcal{C}_{/C}$  of the limit of  $F$  restricted to  $U$ :

$$F^+(C) \simeq \text{colim}_{U \subseteq \mathcal{C}_{/C}} \lim_{(C' \rightarrow C) \in U} F(C').$$

See [1, 6.2.2.8–6.2.2.13]. Since the collection of covering sieves is filtered under reverse inclusion, the functor  $(-)^+ : \mathcal{P}(\mathcal{C}) \rightarrow \mathcal{P}(\mathcal{C})$  is left exact.

Setting  $U = \mathcal{C}_{/C}$  and  $(C \xrightarrow{=} C) \in U$  in the formula above, we obtain a natural transformation  $\theta : \text{id} \rightarrow (-)^+$  whose component at  $F \in \mathcal{P}(\mathcal{C})$  is a morphism of simplicial presheaves  $\theta_F : F \rightarrow F^+$ . This is an  $S_\tau$ -local equivalence [1, Proposition 6.2.2.14].

Iterating the operation  $F \mapsto F^+$  sufficiently many times produces a  $\tau$ -sheaf  $L(F)$  together with an  $S_\tau$ -local equivalence  $F \rightarrow L(F)$ . Moreover,  $L : \mathcal{P}(\mathcal{C}) \rightarrow \text{Sh}(\mathcal{C}, \tau)$  is again left exact because it is a filtered colimit of left exact functors. The (transfinite) number of times the operation  $(-)^+$  must be applied in order to obtain a  $\tau$ -sheaf depends on the compactness ranks of the covering sieves – similarly to the standard small object argument. See [1, proof of Proposition 6.2.2.7].

(b) Given a topological localization  $L : \mathcal{P}(\mathcal{C}) \rightarrow \mathcal{D}$ , we say that a monomorphism  $i : U \rightarrow j(C)$  is a covering sieve (with respect to  $L$ ) if  $L(i)$  is an equivalence. Since

$L$  preserves monomorphisms, the condition that  $i$  is a covering sieve is equivalent to the condition that the morphism

$$\tau_{\leq 0}L(i) \simeq L(\tau_{\leq 0}(i))$$

is an isomorphism in  $\tau_{\leq 0}\mathcal{D}$ . Using that the localization is left exact, it can be shown that this collection of covering sieves defines a Grothendieck topology on  $\mathcal{C}$  (or  $\mathfrak{h}(\mathcal{C})$ ). When  $\mathcal{D} = \mathrm{Sh}(\mathcal{C}, \tau)$  and  $L$  is the  $\tau$ -sheafification functor, this Grothendieck topology is exactly  $\tau$  – since  $\tau$  corresponds to the Grothendieck topology on  $\mathfrak{h}(\mathcal{C})$  that is associated to the left exact localization  $\mathcal{P}(\mathfrak{h}\mathcal{C}) \simeq \tau_{\leq 0}\mathcal{P}(\mathcal{C}) \xrightarrow{L} \tau_{\leq 0}\mathrm{Sh}(\mathcal{C}, \tau)$ . See [1, 6.2.2.17].  $\square$

The next result identifies the  $\infty$ -category of sheaves  $\mathrm{Sh}(\mathcal{C}, \tau)$  in terms of a **universal property**.

**Proposition 6.** *Let  $\mathcal{C}$  be a small  $\infty$ -category equipped with a Grothendieck topology  $\tau$  and let  $L : \mathcal{P}(\mathcal{C}) \rightarrow \mathrm{Sh}(\mathcal{C}, \tau)$  be the associated accessible left exact localization. Let  $\mathcal{X}$  be an  $\infty$ -topos. Then the composition*

$$\mathrm{Fun}^*(\mathrm{Sh}(\mathcal{C}, \tau), \mathcal{X}) \xrightarrow{L^*} \mathrm{Fun}^*(\mathcal{P}(\mathcal{C}), \mathcal{X}) \xrightarrow{j^*} \mathrm{Fun}(\mathcal{C}, \mathcal{X})$$

is fully faithful. Here  $\mathrm{Fun}^*$  denotes the  $\infty$ -category of left exact colimit-preserving functors.

If  $\mathcal{C}$  has finite limits, then  $f : \mathcal{C} \rightarrow \mathcal{X}$  is in the essential image if and only if

- (a)  $f$  is left exact, and
- (b) for every collection  $\{C_\alpha \rightarrow C\}_\alpha$  which generates a covering sieve, the morphism

$$\bigsqcup_\alpha f(C_\alpha) \rightarrow f(C)$$

is an effective epimorphism.

*Proof.* (Sketch) The functor  $L^*$  is fully faithful because  $L$  is a localization.  $j^*$  is fully faithful as a consequence of the universal property of the  $\infty$ -category of presheaves.

For the second part, suppose that the functor  $f : \mathcal{C} \rightarrow \mathcal{X}$  is the restriction of  $\mathcal{P}(\mathcal{C}) \xrightarrow{L} \mathrm{Sh}(\mathcal{C}, \tau) \xrightarrow{F} \mathcal{X}$ . Since the Yoneda embedding is left exact,  $f$  is also left exact, so (a) is satisfied. For (b), it suffices to show that

$$\bigsqcup_\alpha L(j(C_\alpha)) \rightarrow L(j(C))$$

is an effective epimorphism – since  $F$  preserves effective epimorphisms. Consider the factorization

$$\bigsqcup_\alpha j(C_\alpha) \xrightarrow{p} U \xrightarrow{i} j(C)$$

into an effective epimorphism  $p$  and a monomorphism  $i$ . The morphism  $i$  corresponds to the covering sieve that the collection  $\{C_\alpha \rightarrow C\}$  generates. Then  $L(p)$  is an effective epimorphism and  $L(i)$  is an equivalence. The converse is similar. See [1, 6.2.3.20].  $\square$

## REFERENCES

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- [2] Bertrand Toën and Gabriele Vezzosi, *Homotopical algebraic geometry. I. Topos theory*. Adv. Math. 193 (2005), no. 2, 257–372.